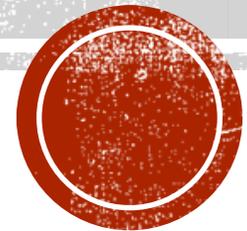
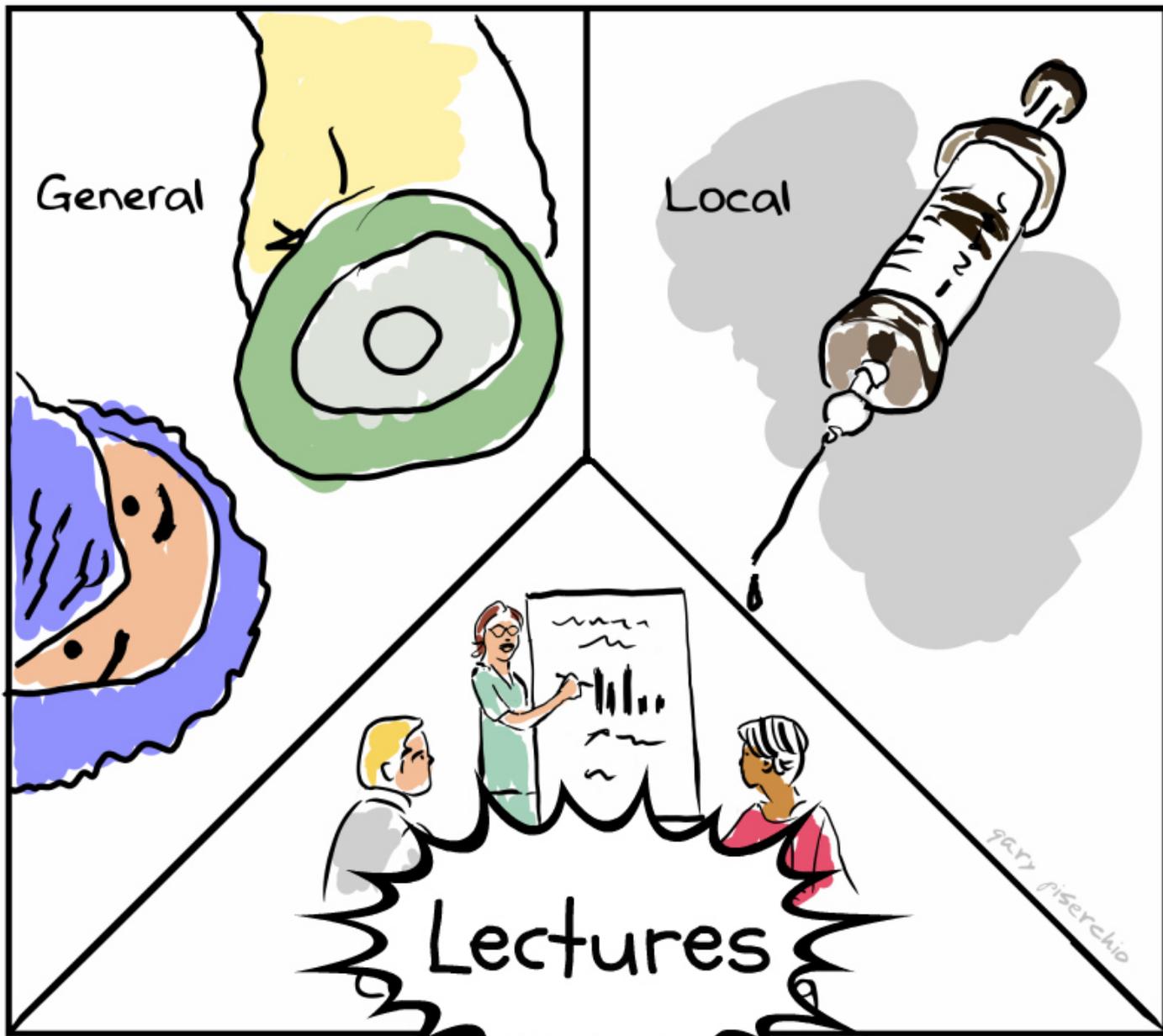


# VENTILATION STRATEGIES FOR THE MORBIDLY OBESE PATIENT

Stephanie Stewart APRN, MS, CRNA





**Three forms of anesthesia.**



# OBESITY

- 30% of the American population
- Under anesthesia, as BMI increases, arterial oxygenation decreases
- Pulmonary outcomes markedly worse in morbidly obese verses normal weight patients
- No definitive approach to optimize ventilation



# OBESITY CLASSIFICATION

Normal Weight  
(BMI 19 to 24.9)



130 pounds  
BMI 22

Overweight  
(BMI 25 to 29.9)



152 pounds  
BMI 26

Obese (Class I)  
(BMI 30 to 34.9)



175 pounds  
BMI 30

Obese (Class II)  
(BMI 35 to 39.9)



205 pounds  
BMI 35

Severely Obese  
(BMI 40+)



234 pounds  
BMI 40

- **Peripheral Adipose**
  - Females
- **Central Adipose**
  - Males
  - Respiratory implication



# FACTORS AFFECTING VENTILATION

- Surface tension of the alveoli
  - Surfactant
- Compliance
  - Ability to stretch and expand
- Airway resistance
  - Airway size



# OBESE VENTILATION PATHOPHYSIOLOGY

- Restrictive lung disease
  - Decreased chest wall compliance and increased intraabdominal pressure
- Increased airway pressures
  - Upward displacement of the diaphragm
  - Chest wall weight
  - Increased pulmonary blood flow from abdominal pressure
  - Decreased surfactant production
- Increased upper airway resistance



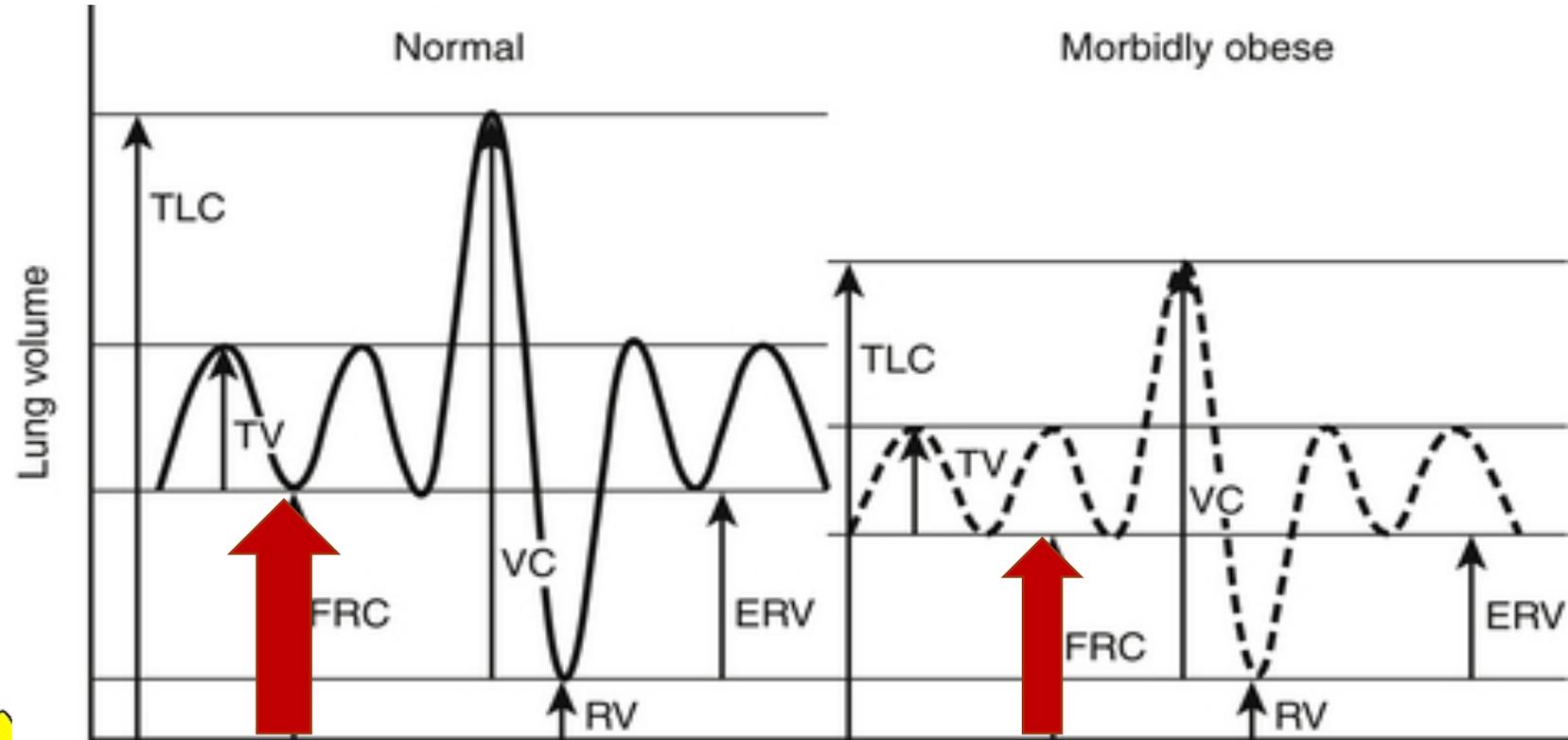
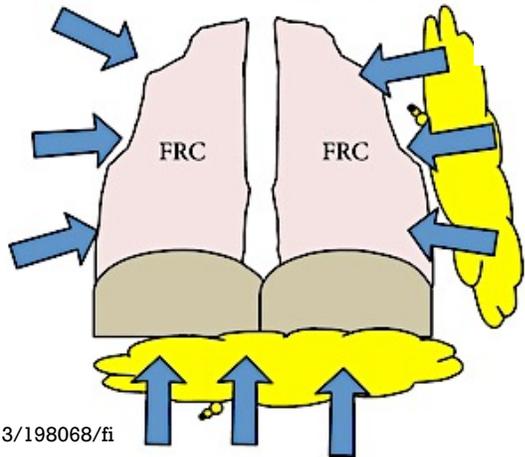
# OBESE VENTILATION PATHOPHYSIOLOGY

- Loss of lung volumes
  - Decreased FRC and ERV=shorter period of apnea tolerance
    - Worsened with supine and Trendelenburg positioning
- Hyperventilation
  - Increased work of breathing: resp. muscle fatigue
  - Increased oxygen consumption: hypoxia
- Increased carbon dioxide production: hypercarbia
- Supine respiratory insufficiency



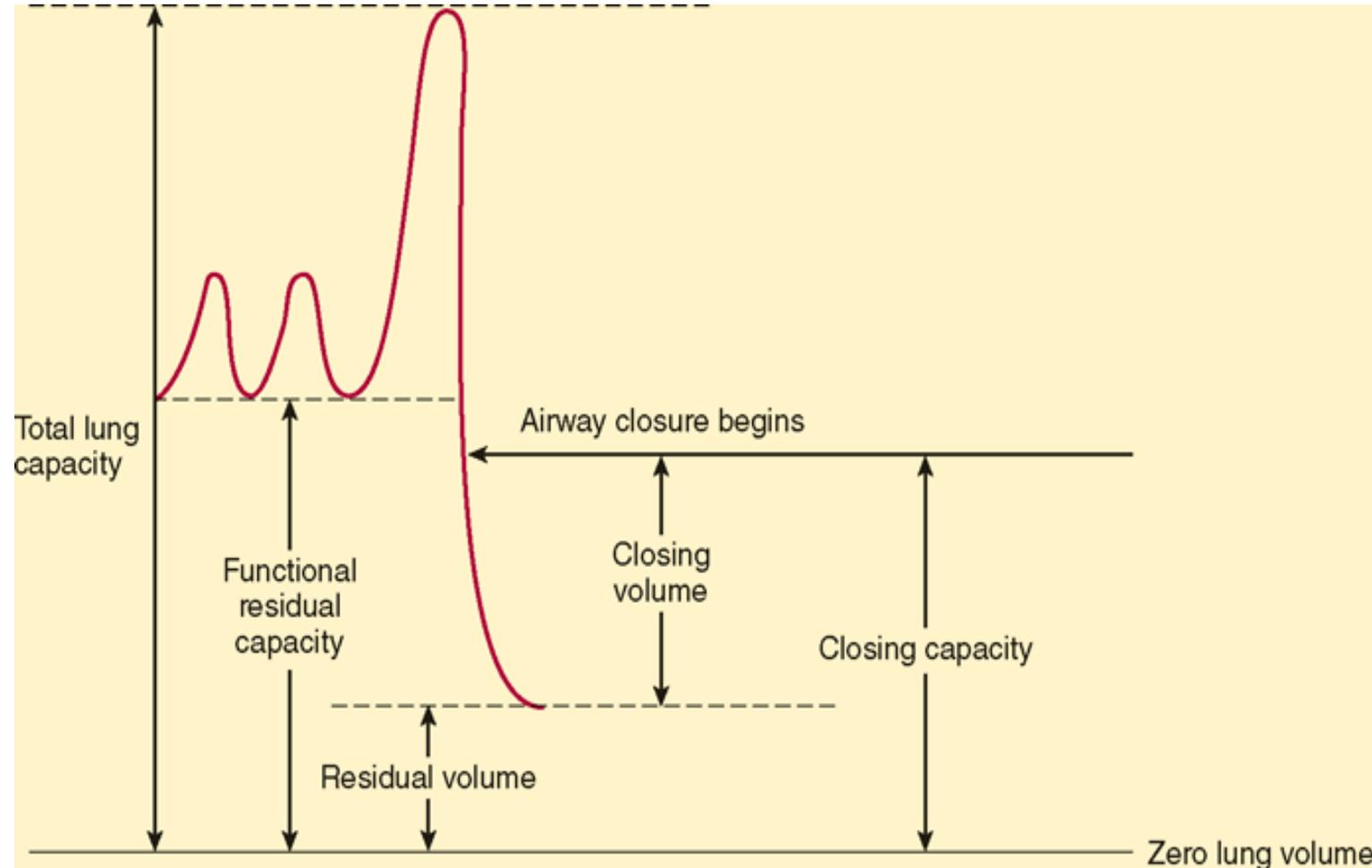
# WHY IS THE FRC LESS IN THE OBESE?

- Increased pressure on the lung bases from increased abdominal and chest wall adipose deposits



# NORMAL CLOSING VOLUME

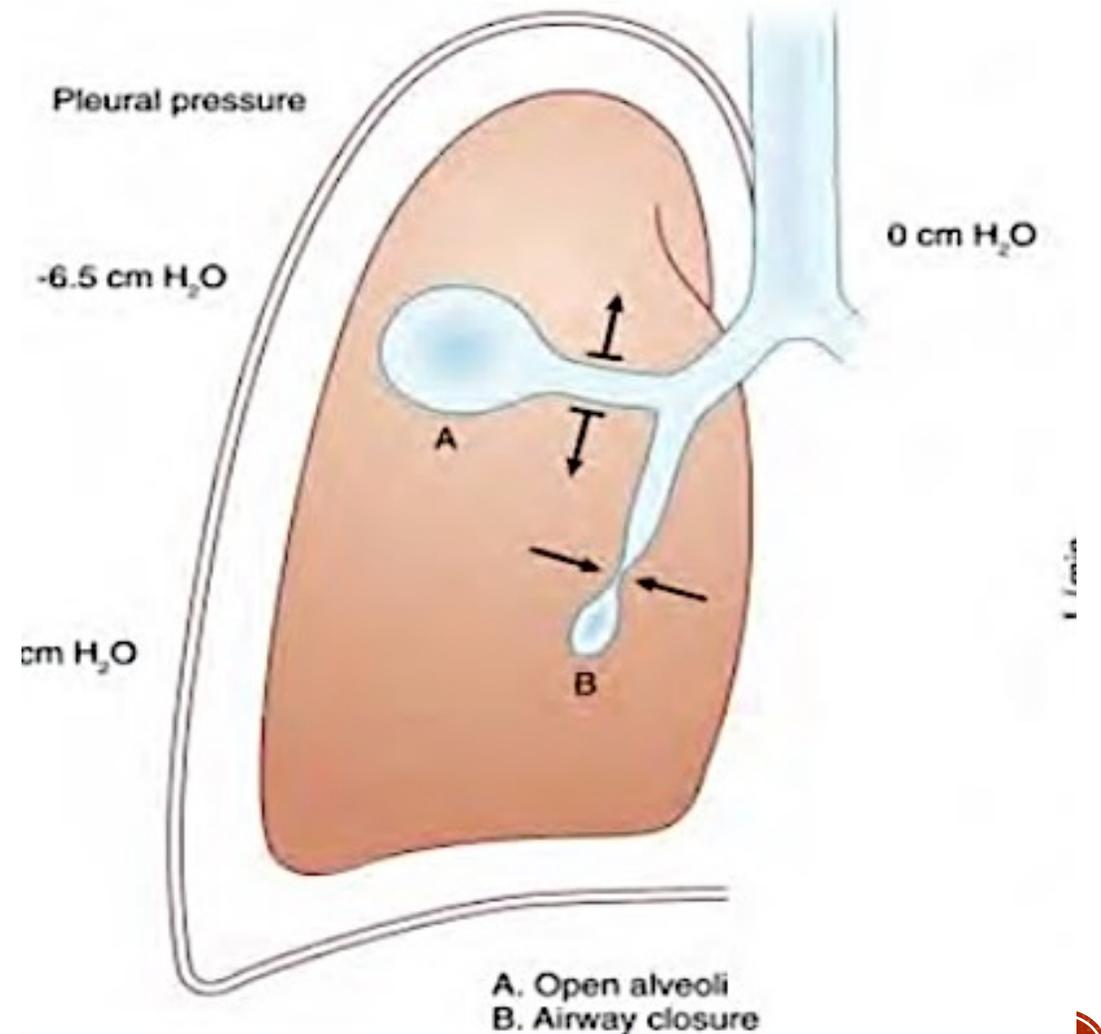
- The volume of air, above the residual volume at which small airways begin to close during expiration
- Closing Volume + Residual Volume = Closing capacity
- Normally well below FRC



Source: Butterworth JF, Mackey DC, Wasnick JD: *Morgan & Mikhail's Clinical Anesthesiology*, 5th Edition: [www.accessmedicine.com](http://www.accessmedicine.com)

# SO WHY DO SMALL AIRWAYS COLLAPSE IN THE OBESE

- Because reduced FRC provides less traction on small airways to keep them open
- As lung volume decreases during expiration, the airway diameter decreases, at a critical volume, some airways close entirely

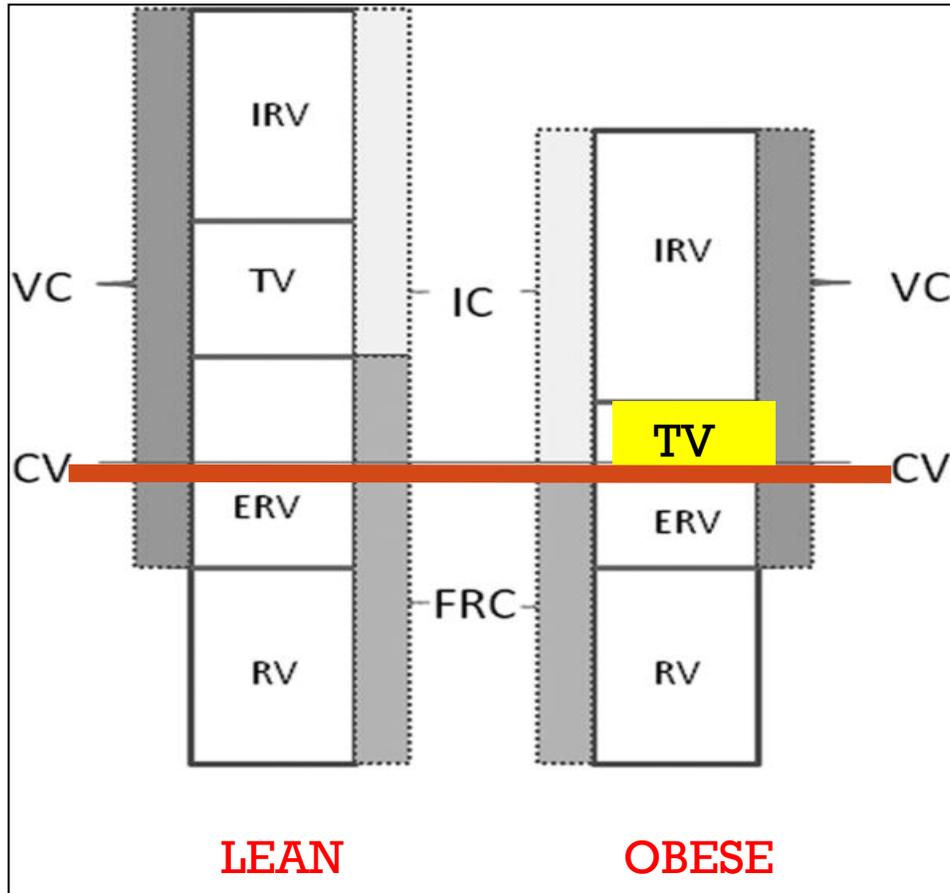


# VENTILATION/PERFUSION MISMATCH

- Baseline for the obese, before anesthesia even starts
- CC includes FRC, while tidal breathing when awake
  - Lung perfused, but not ventilated: shunt
- When CC enters into TV range
  - Some alveoli will get ventilation, but at CC volume, absorption atelectasis will form
- Carbon dioxide levels will climb
  - Pulmonary vasoconstriction



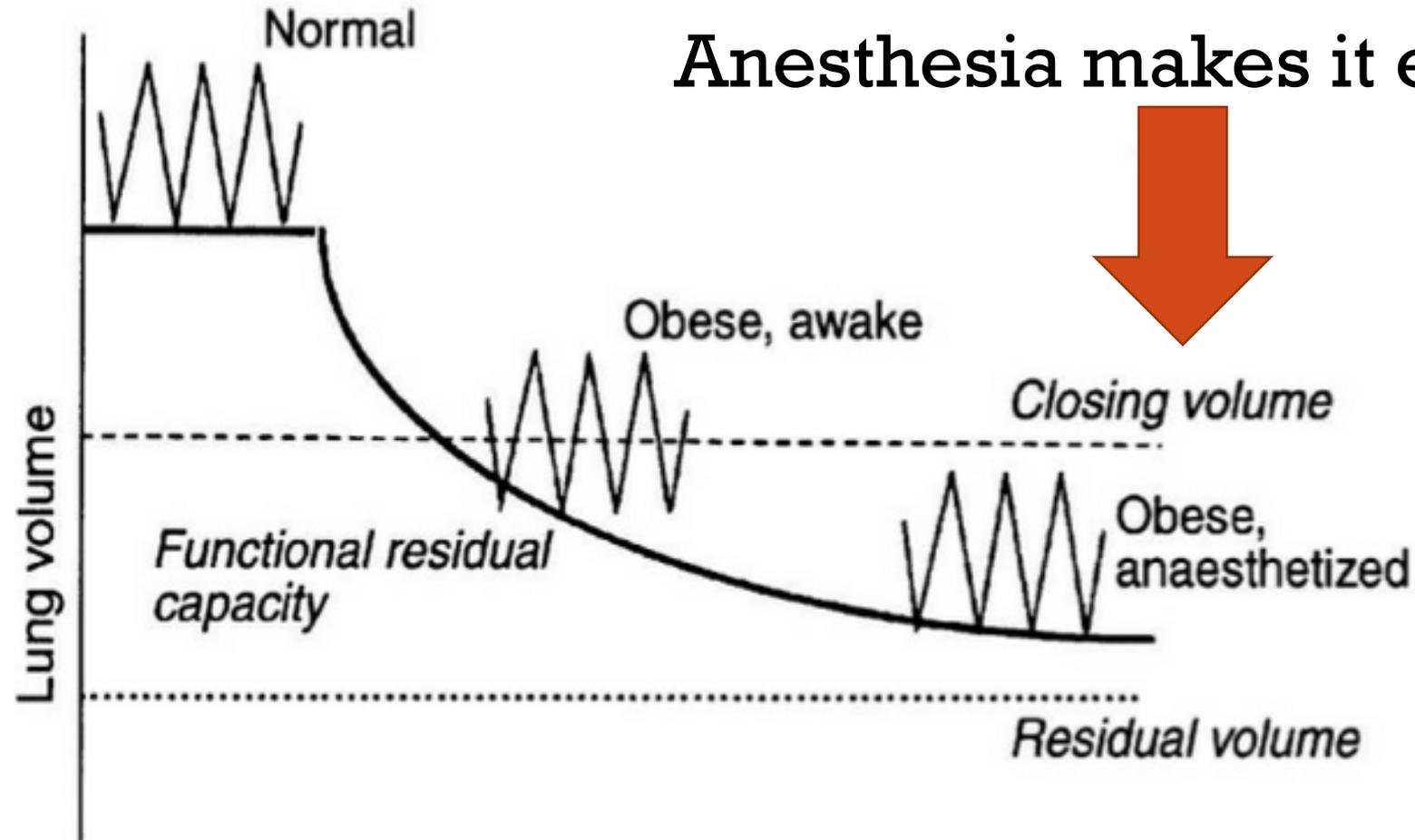
# OBESITY AND ALVEOLAR COLLAPSE



- Obese
- Small ERV leads to small FRC



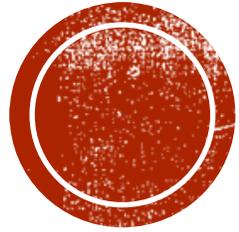
# OBESITY AND ALVEOLAR COLLAPSE



# HERE IS WHAT DOES NOT WORK IN OBESE VENTILATION

- **High FiO<sub>2</sub>**: masks inadequate ventilation, aids in atelectasis formation
- **Large TV**: alveolar barotrauma
- Refusal to exceed **5 cmH<sub>2</sub>O of PEEP**
- **I:E ratio 1:2**
- Pre-oxygenate **without positive pressure**
- Attempt to **ventilate only after the patient is apneic** and the tongue is obstructing the airway
- Laying the **patient flat, unramped**





**HERE IS WHAT DOES  
WORK**

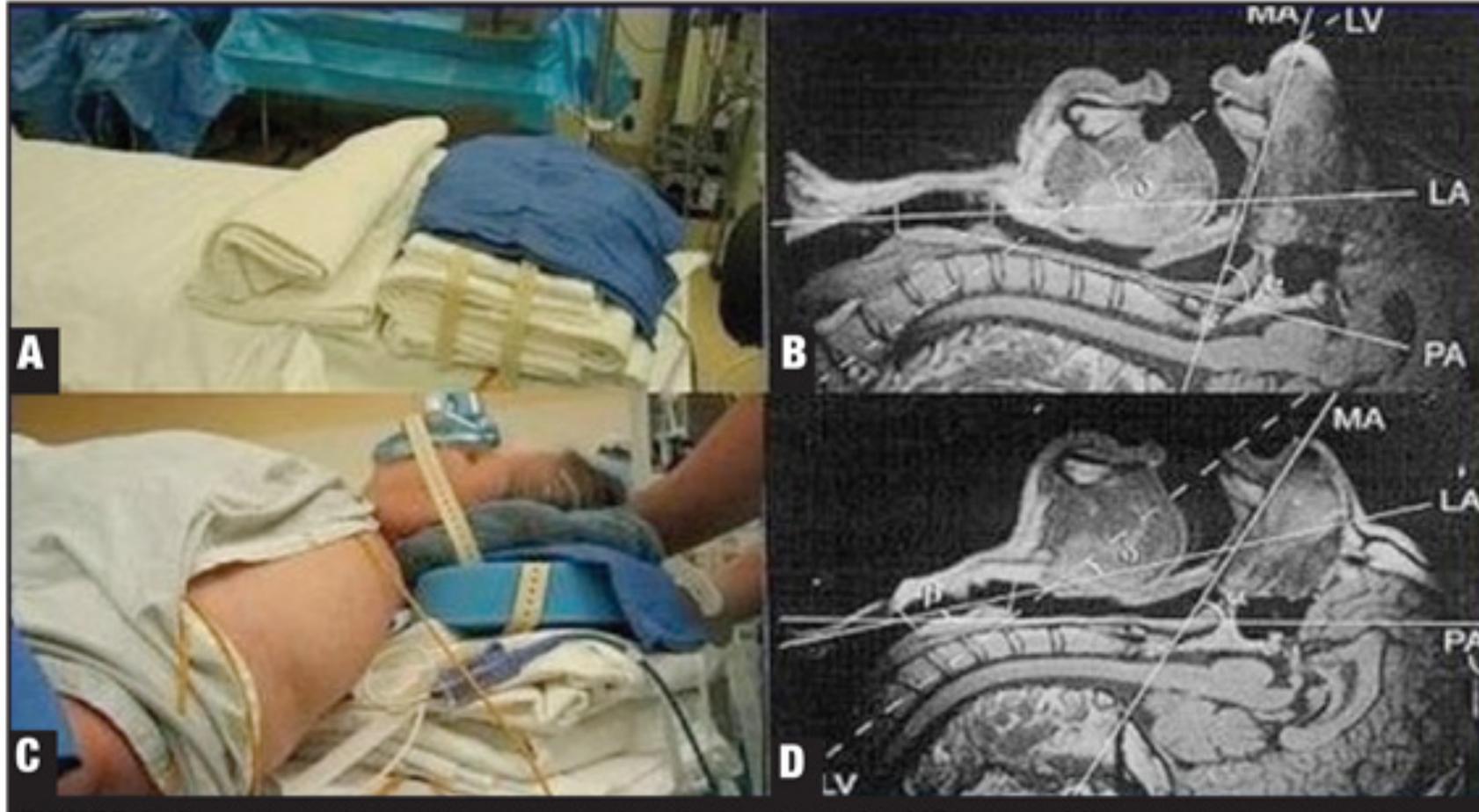


- HELP position:**  
head-elevated  
laryngoscopy position
- Ramp with blankets: sternal notch level to ear
  - Back of table up with head of table “clicked down”



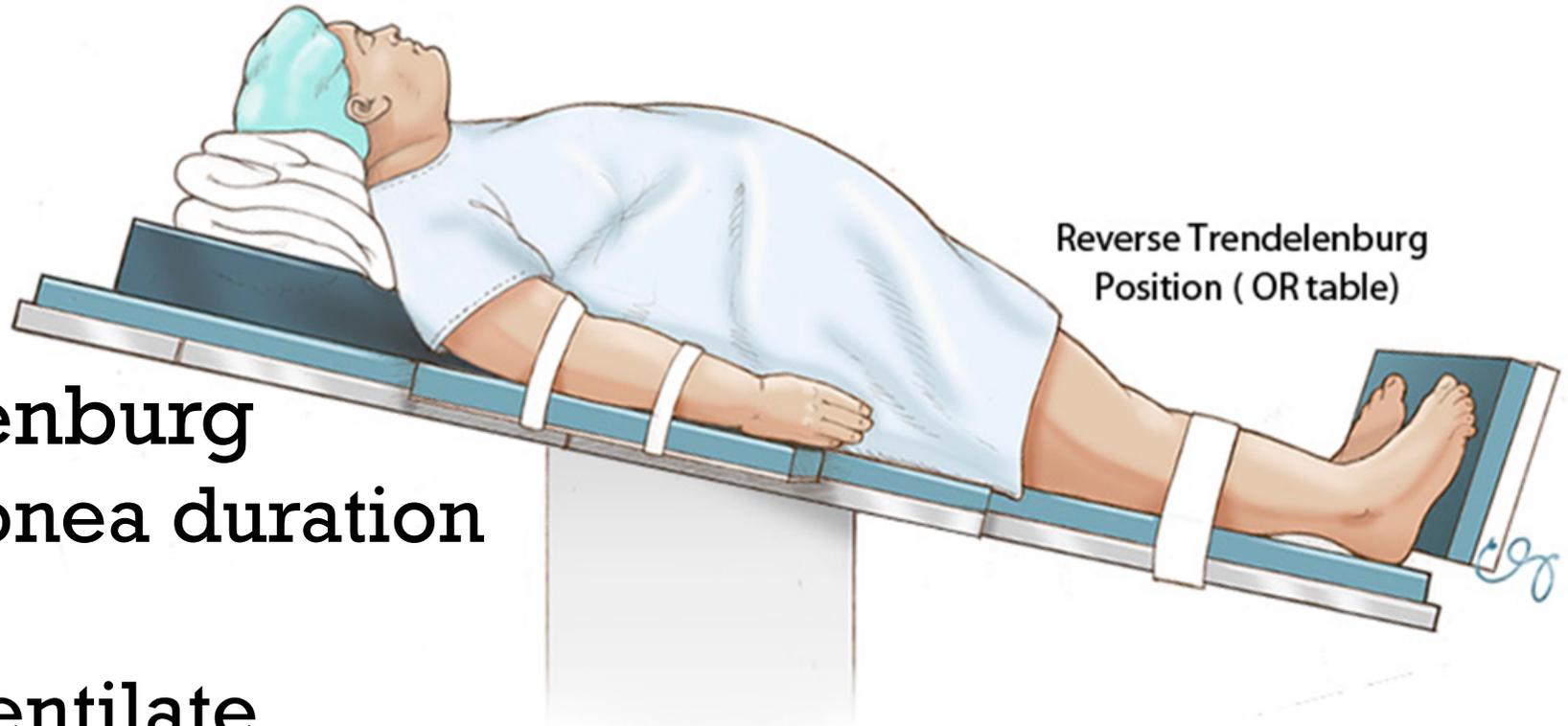
# RAMPING

- Optimizes DL view



# HELP WITH POSITION OPTIMIZATION

Head Elevated  
Laryngoscopy Position (patient)



- **Reverse Trendelenburg**
  - Increases safe apnea duration
  - Increases FRC
  - Easier to mask ventilate
  - Shifts weight load away from lung bases: improve compliance



# INDUCTION

- Pre-oxygenate with 100% O<sub>2</sub> until the ETO<sub>2</sub> is >90%
- Non-invasive Positive Pressure Ventilation: PSV 10 cm H<sub>2</sub>O with PEEP
  - Keeps upper airways open
  - Improves oxygenation
  - Augments FRC
- Some researchers recommend FiO<sub>2</sub> no greater than 80%
  - Absorption atelectasis increases airway resistance=difficult bag mask ventilation, gastric insufflation



# PREOXYGENATION

- CPAP with PEEP prior and during induction
- Meta analysis
  - 30%  $\text{FiO}_2$ : No atelectasis promotion
  - 80%  $\text{FiO}_2$ : No atelectasis promotion
  - 100%  $\text{FiO}_2$  with PEEP 10 cm  $\text{H}_2\text{O}$ : No atelectasis promotion



# APNEIC OXYGENATION

- A ventilatory Mass Flow
- Oxygen diffuses into the body at 250 mL/min
- Carbon dioxide leaves the body at 10 mL/min
  - Pressure difference in alveoli generates a negative pressure gradient, draws oxygen into the lungs and into the alveoli



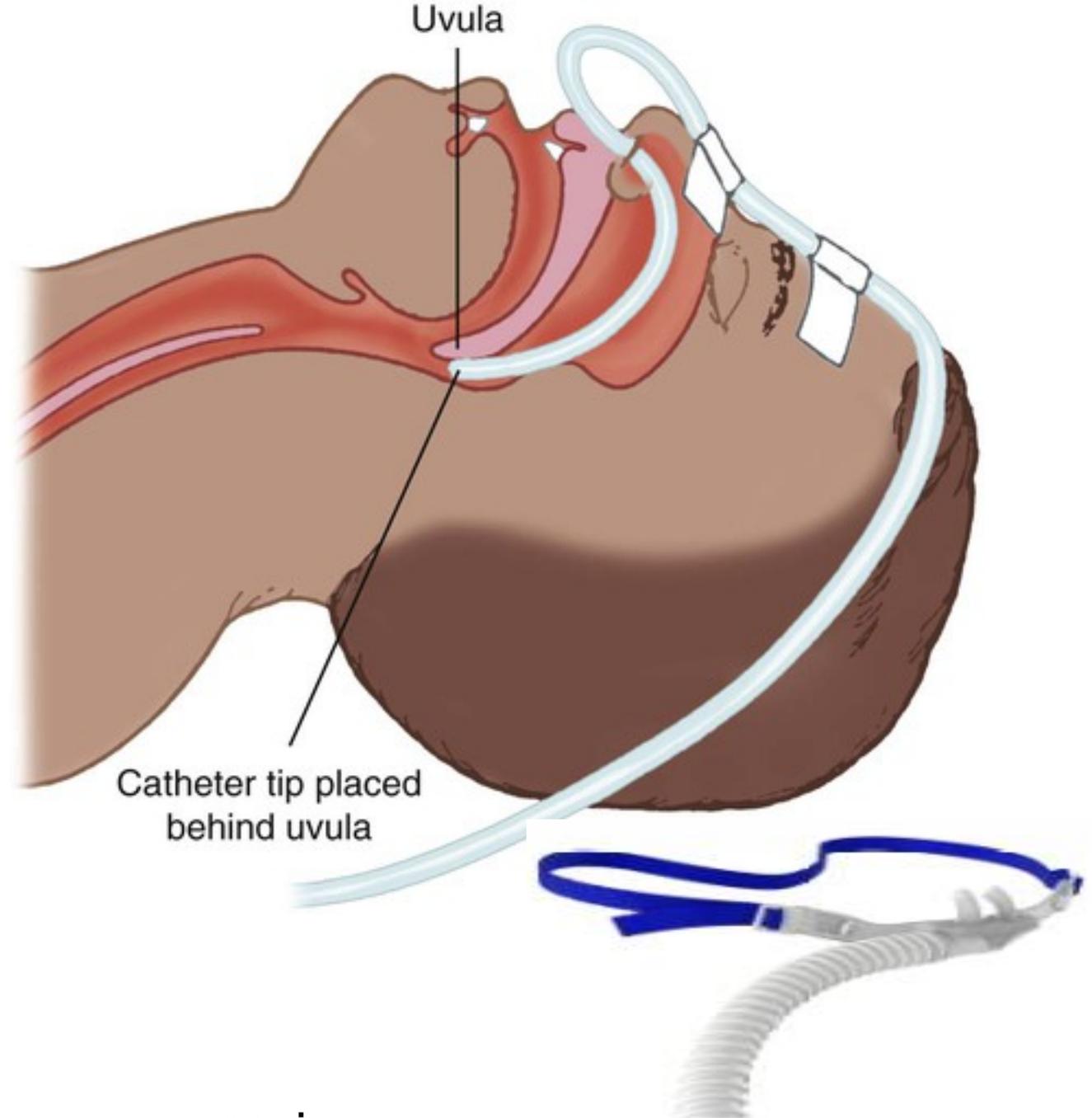
# REQUIREMENTS FOR APNEIC OXYGENATION

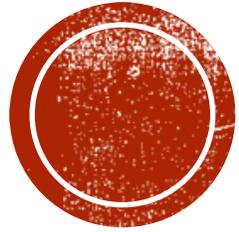
- Patent airway: pharynx to lungs
- High oxygen flow 5-25 L/min
- Delivery: nasopharyngeal catheter, nasal prongs or intratracheal catheter
- Consider nasal trumpet, jaw thrust, high flows



# BARAKA ET AL.

- BMI 42kg/m<sup>2</sup>
- Nasal catheter at 5 l/min
- AO group 100% saturated for 4 minutes
- Non-AO group desaturated below 95% mean 145 seconds



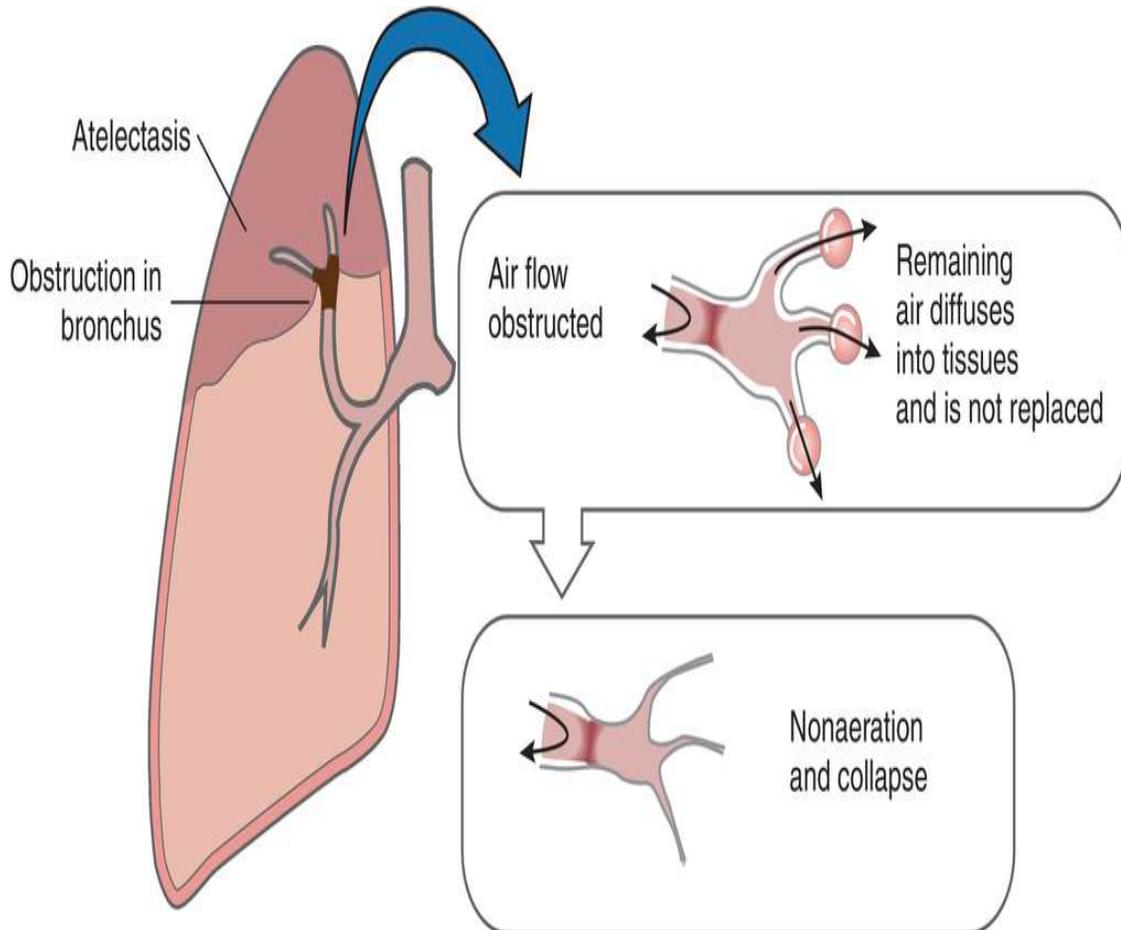


# MAINTENANCE OF VENTILATION



# WHAT IS ATELECTASIS

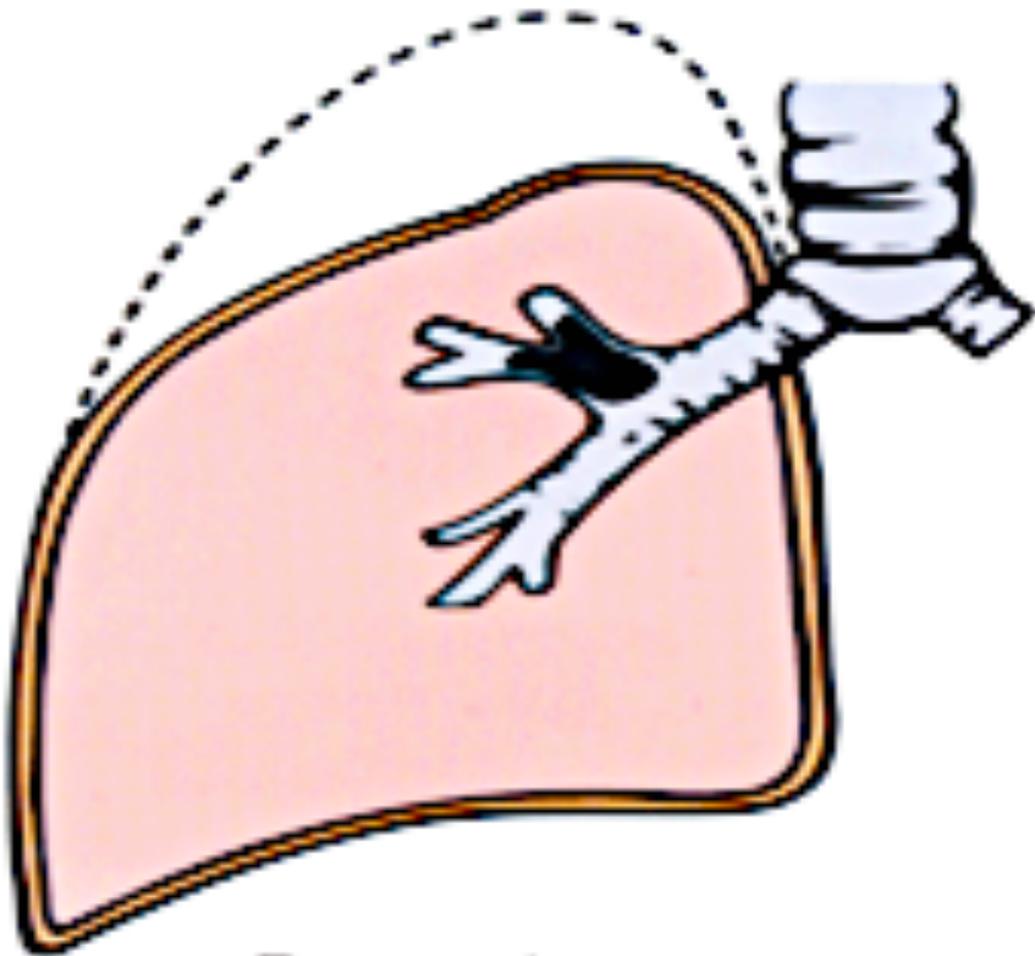
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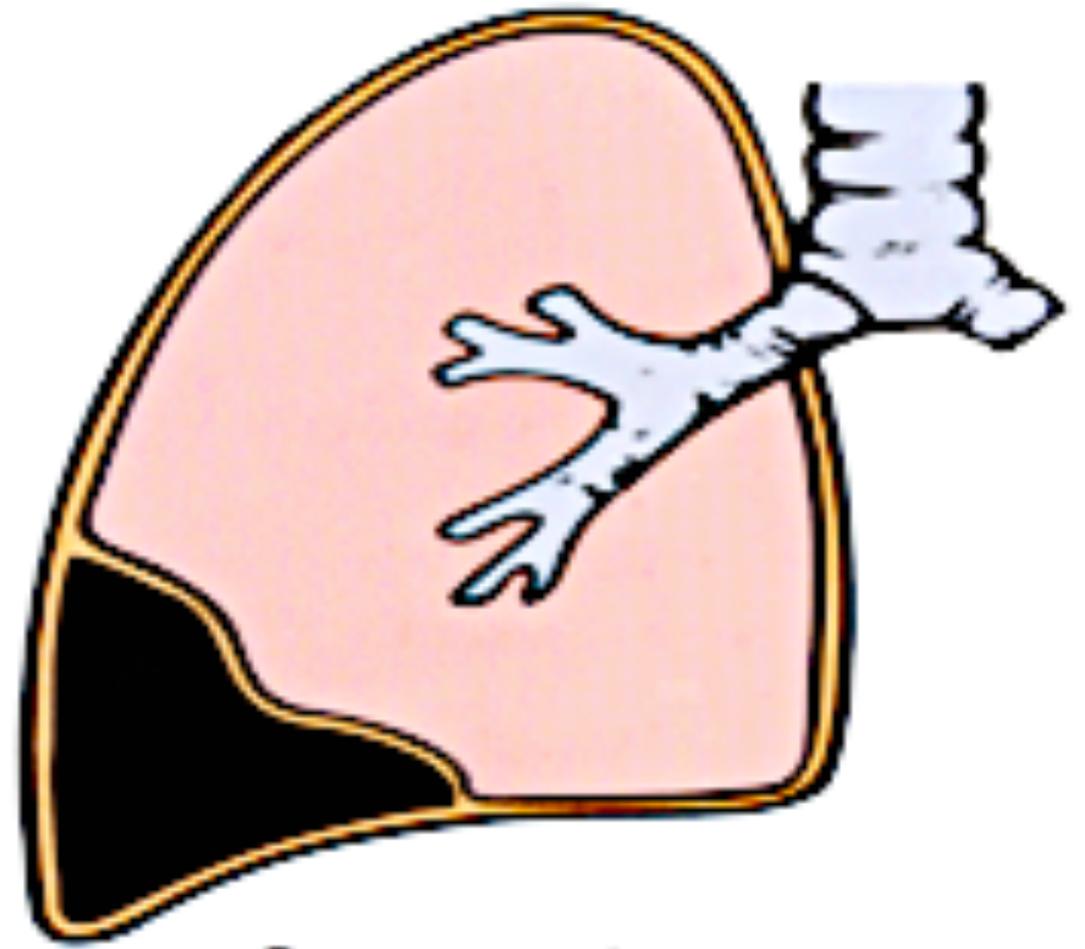
- Complete or partial collapse of a lung or lobe of a lung
- Develops when the alveoli within the lung become deflated.
- Present in the obese at baseline

[https://medical-dictionary.thefreedictionary.com/\\_/viewer.aspx?path=MosbyMD&name=atelectasis.jpg&url=https%3A%2F%2Fmedical-dictionary.thefreedictionary.com%2Fatelectasis](https://medical-dictionary.thefreedictionary.com/_/viewer.aspx?path=MosbyMD&name=atelectasis.jpg&url=https%3A%2F%2Fmedical-dictionary.thefreedictionary.com%2Fatelectasis)





**Resorption**



**Compression**



# **ATELECTASIS**

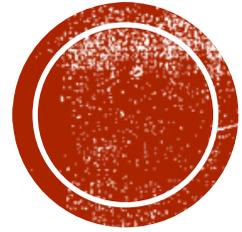
- **90% of patients undergoing general anesthesia**
  - **Redistribution of ventilation due to supine position and muscle relaxation, no natural sigh breaths**
  - **Increased PVR and work of breathing**
- **Absorption atelectasis occurs within a few minutes of induction**
- **Increases morbidity and mortality postoperatively**
  - **Especially in the obese**



# **ATELECTASIS FORMATION IN THE OBESE**

- **Compression of lung structures:**
  - **Heart, intrathoracic blood volume, chest wall and abdominal contents**
  - **Reduction of FRC below the closing capacity as small airways close early**
- **Decreased lung surfactant production due to hypoventilation, increases surface tension**
- **Absorption of intra-alveolar gas content: shunt**
  - **Worsened by higher  $FiO_2$**





**INTRAOPERATIVE FACTORS  
CAN EXACERBATE PRE-  
EXISTING ATELECTATIC  
PROBLEMS**

# THE ADDITION OF PNEUMOPERITONEUM

- Further decrease pulmonary compliance and FRC
- Hypoxia and Hypercapnia worsened
- V/Q mismatch and Atelectasis formation
- Pulmonary hypertension



# TRENDELENBURG POSITION

Additive to  
pneumoperitoneum



# RECRUITMENT MANEUVERS

- RM: mimics natural sigh breaths
- Re-expanding collapsed lung tissue and using high PEEP to prevent subsequent 'de-recruitment'.
  - Increase oxygenation and ventilation
  - Improve compliance and increases FRC
  - Decreases shunt and respiratory effort
  - Spread surfactant



# PREVENTION/REVERSAL OF ATELECTASIS

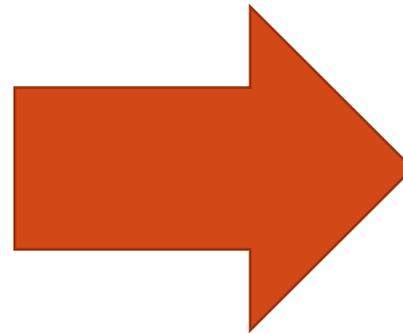
- Restrict the use of  $\text{FiO}_2$  to  $<80\%$
- Recruitment (“vital capacity”) maneuver after intubation
  - sustained (6-10 seconds) pressure  $\geq 40-55$  cm/ $\text{H}_2\text{O}$
- PEEP 10-15 cm  $\text{H}_2\text{O}$ 
  - Additive to PIP
- RM after intubation, pneumoperitoneum, every hour



# OBESE PATIENTS

- Sustained breath hold recruitment maneuvers to 40 cmH<sub>2</sub>O followed by a PEEP of 10–15 cmH<sub>2</sub>O
- Improve oxygenation and reduce atelectasis

- PEEP alone
- Recruitment maneuver alone



Do not  
improve  
atelectasis



# PEAK AIRWAY PRESSURE

- Guide to recruitment, keep PAP <40 cm H<sub>2</sub>O
- Some authors state that PAP up to 55 cm H<sub>2</sub>O is alright
  - Decrease chest wall compliance protective against higher plateau pressures
  - Less lung damage



# OTHER TYPES OF RECRUITMENT MANEUVERS

- Stepped increase in TV
- Stepped increase in PEEP
- Vital Capacity button

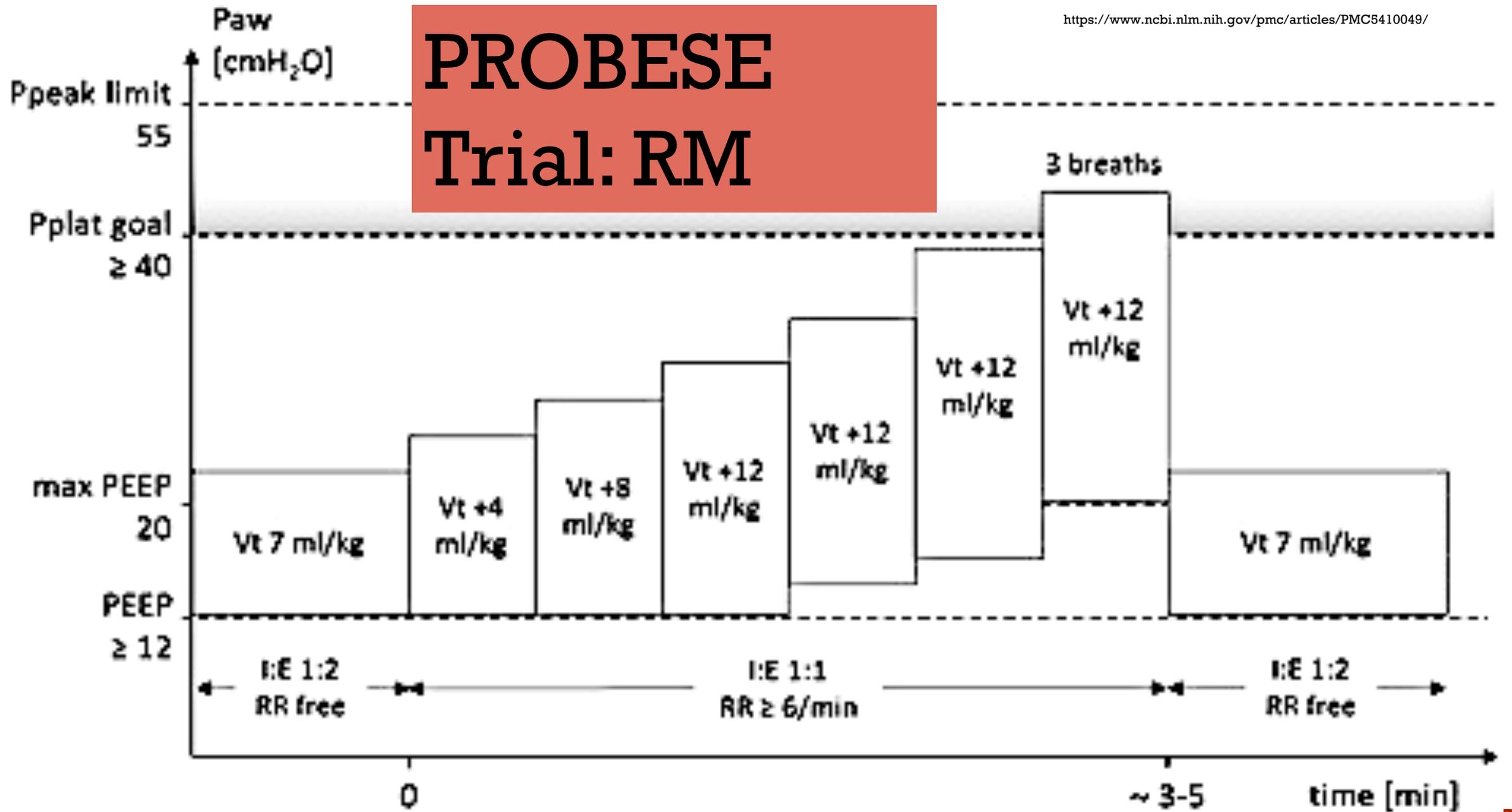


# PROBESE TRIAL: ONGOING

- Protective Ventilation with Higher versus Lower PEEP during General Anesthesia for Surgery in OBESE Patients
- Multicenter, two-arm, international randomized controlled trial: n= 2013
- RM, as part of the high-PEEP strategy, is performed directly after induction of anesthesia and every 1 h during surgery, and before extubation
- Hypothesis: intraoperative mechanical ventilation using high PEEP with periodic recruitment maneuvers, as compared with low PEEP without recruitment maneuvers, prevents postoperative pulmonary complications in obese patients



# PROBESE Trial: RM



# HOW TO OPTIMIZE PEEP

PEEP	Vt up	Vt down
0	300	
5	470	
10	550	
15	510	
20	350	400

- Goal: Greatest amount of volume change for smallest pressure change
- Perform in pressure control mode
- Stepped increase PEEP by 5 cmH<sub>2</sub>O
- Increase PEEP up to 20 cmH<sub>2</sub>O Hold each step for 30 seconds until TV dose not increase.
- Eventually you will be able to decrease PEEP, increased recruitment



# **META ANALYSIS**

- No barotrauma with PEEP up to 10 cm H<sub>2</sub>O
- No barotrauma with PIP of 40 cm H<sub>2</sub>O



# CONSIDERATIONS

- Increased intrathoracic pressure reduces venous return
  - Arterial pressure and CO declines: oxygen transport capacity decreased
  - Contraindicated in hypovolemia
    - Preload/Sympathomimetics
- Cardiac arrhythmia possible
- Barotrauma from excessive stretching lung parenchyma
- Caution in patients who have already suffered a so-called first hit (e.g. injury, infection, etc.)



# CONTROVERSY

- Some experts argue normal weight and healthy only require RMs with persistent hypoxemia when increase  $\text{FiO}_2$  and PEEP have not worked.
- Lancet: High Versus Low PEEP during GA for open abdominal surgery (PROVHILO trial)
- Multicenter randomized controlled trial
- $n=900$ , PEEP 12 vs 2 cm  $\text{H}_2\text{O}$ , BMI 25  $\text{kg}/\text{m}^2$
- No effect on ventilation, hemodynamic compromise



# VENTILATOR MODES

- **Continuous Positive Airway Pressure: CPAP**
  - Avoid major decrease of FRC at induction
  - Increases mean airway pressure during spontaneous breathing
  
- **Volume Control Ventilation: VCV**
  - Time cycled and volume targeted
  - Tight control of tidal volume



# VENTILATOR MODES

- **Pressure Control Ventilation: PCV**
  - Time cycle and pressure-limited
  - Tight control of peak inspiratory pressure
  
- **Pressure Control Ventilation- Volume Guarantee: PCV-VG**
  - Prevents TV changing when compliance changes

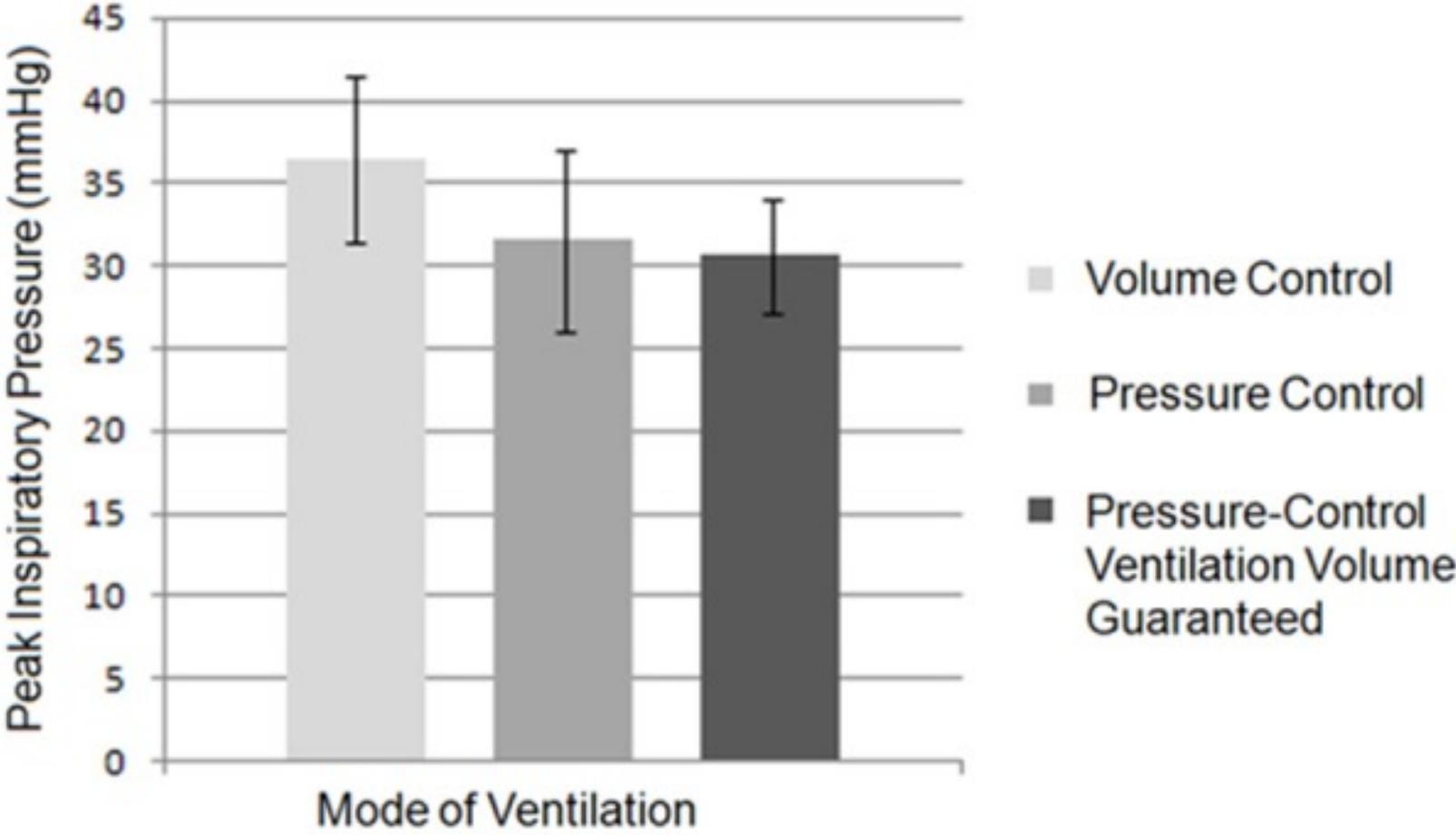


# **STUDY: PRESSURE CONTROL VENTILATION OR PRESSURE CONTROL VOLUME GUARANTEE VERSES VOLUME CONTROL VENTILATION**

- Some studies suggest PCV or PCV with VG ventilates obese patients more successfully than VCV
- Meta-analysis does not support



# RESULTS: VENTILATION DURING LAPAROSCOPIC-ASSISTED BARIATRIC SURGERY



# LUNG PROTECTIVE TIDAL VOLUMES

- Tidal Volumes of 6-10 ml/kg of ideal body weight
- PIPs  $\ll 40$  cm H<sub>2</sub>O
- Consider permissive hypercapnia
- Increase rate over increased TV or distending pressures



# A TRIAL OF INTRAOPERATIVE LOW-TIDAL-VOLUME VENTILATION IN ABDOMINAL SURGERY: LUNG PROTECTIVE TV

- Intermediate to high risk of pulmonary complications after major abdominal surgery
- Either nonprotective mechanical ventilation or a strategy of lung-protective ventilation
- Improved clinical outcomes and reduced health care utilization

	Normal	Protective
Tidal Volume ml/kg	10 - 12	6 - 8
PEEP cm H <sub>2</sub> O	none	6 - 8
Recruitment	none	30 q 30min



# I:E RATIO

- Normally
  - Inspiration active process and expiration is passive
  - Expiration usually longer
  - Sighs prevent atelectasis
- Under anesthesia
  - FRC is reduced, resistance increased and collapse is common.
  - Longer than normal inspiratory times may be required for all alveoli to reach inspiratory equilibrium.
  - Expiratory pauses with no gas flow will not contribute to ventilation (no gas is flowing) and may contribute to collapse.



# I:E RATIO

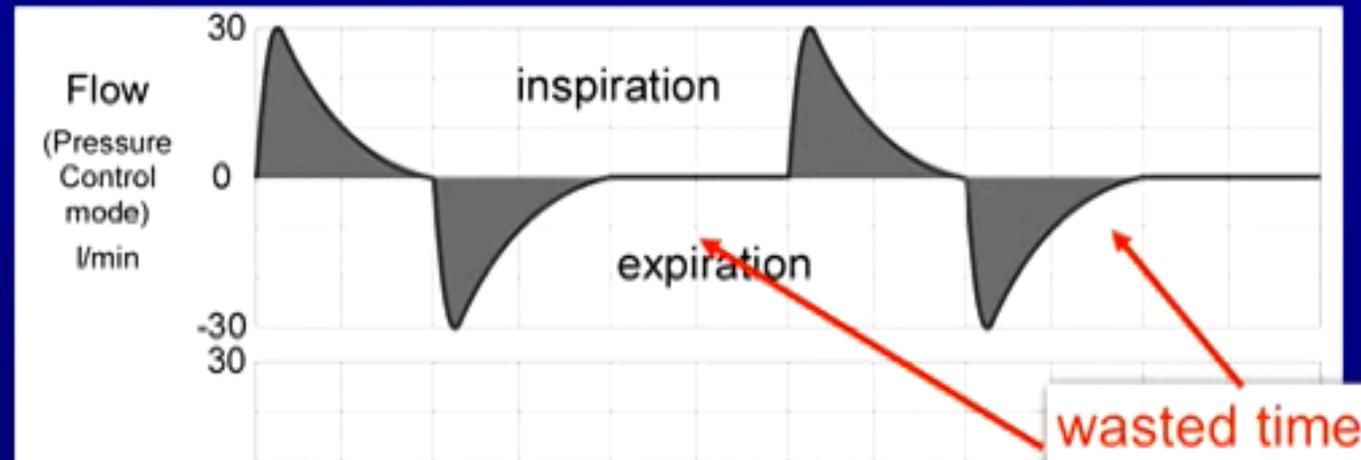
- I:E ratio 1:2



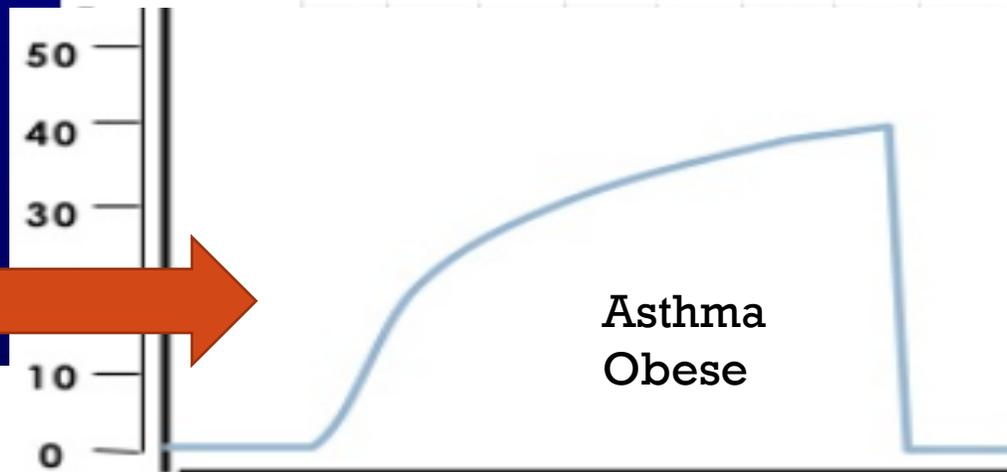
- Consider ratio 1:1, more airflow, CO<sub>2</sub> should go down
- Prolonged E time only if expiratory flow is restricted



No gas flow = No ventilation → Collapse



wasted time in expiration



Asthma  
Obese



# SHOULD YOU BE INVERTING THE I:E RATIO

- 2:1
- Potential benefit
  - Prolonged inflation time can help prevent alveolar collapse.
- Dangers
  - Inadequate emptying of the lungs which can lead to hyperinflation
  - Auto-PEEP
  - Decrease cardiac output.



# EMERGENCE

- Maintain PEEP/CPAP at all times
  - Wean using pressure support with PEEP
  - Do not bag and leave apneic to “get them back breathing”
- Alveolar recruitment maneuver just before extubation
- Extubate sitting up with PEEP
  - Less oxygen than 100%
  - Fully reversed NMBA
  - Appropriate analgesia: opioid sparing
  - Awake
    - Pharyngeal muscles role in airway patency



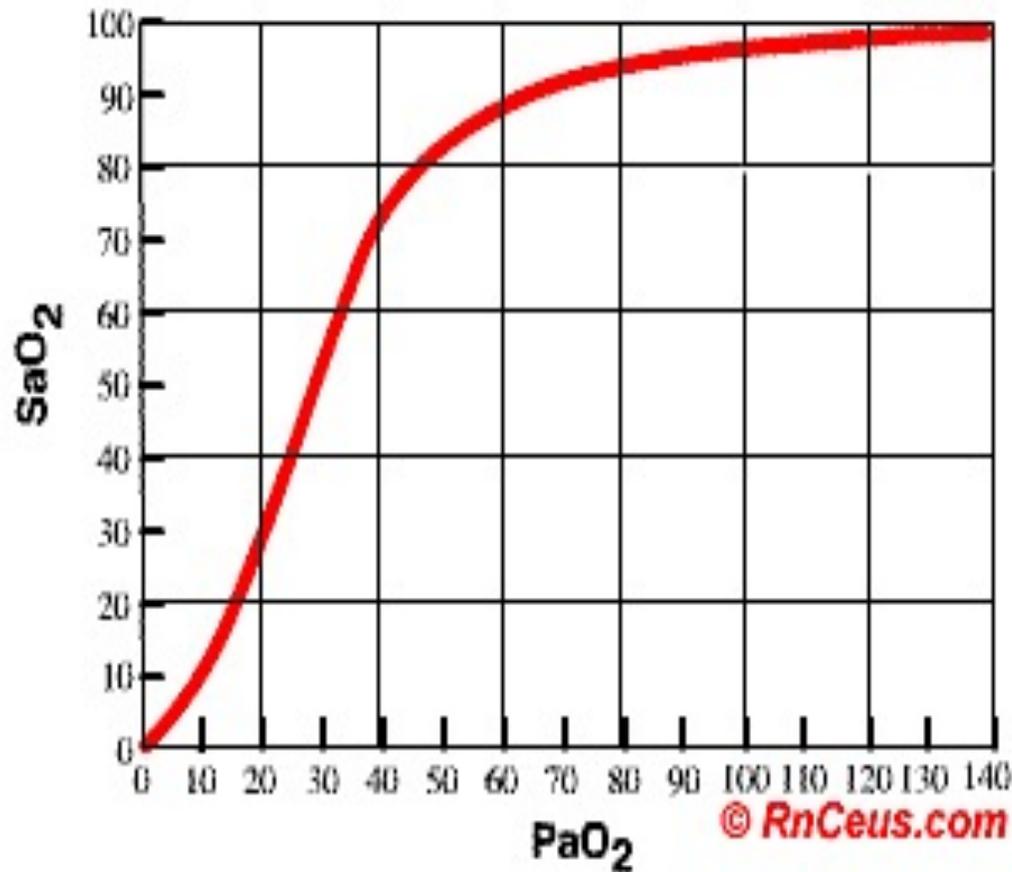
# EDMARK ET AL. (2015)

- Percent oxygen manipulated at emergence.
- 40 patients, with a median BMI of 41.9 kg/m<sup>2</sup>.
- Study group divided: half received 100% FiO<sub>2</sub>, half 30% FiO<sub>2</sub>.
- Finding 100% FiO<sub>2</sub> during the emergence period worsened oxygenation and caused atelectasis.



# YOUR PATIENT'S $PAO_2$

OxyHemoglobin Dissociation Curve



- In a normal patient, the measured PaO<sub>2</sub> should equal 5 x FiO<sub>2</sub>
- 80% = 400mmHg
- 100% = 500mmHg

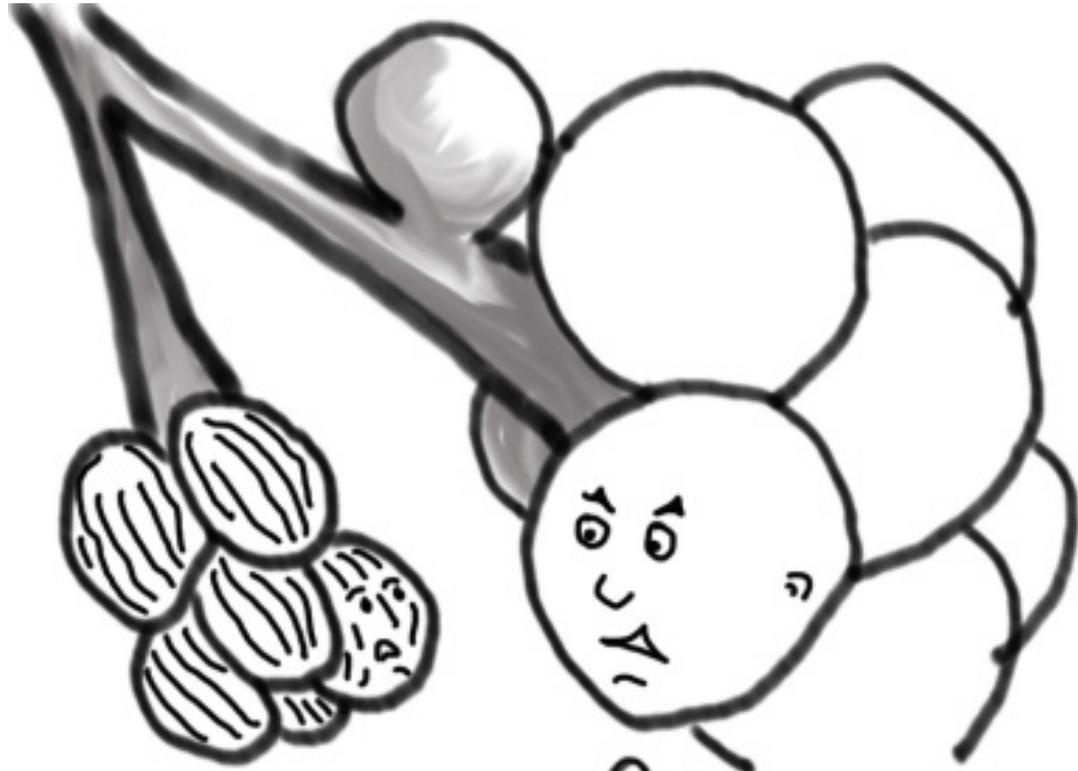
Either way their PaO<sub>2</sub> is over there



# POSTOPERATIVE VENTILATION SUPPORT

- In PACU
- CPAP: patency of upper airways
- NPPV (noninvasive pos. pres. vent): PS plus PEEP
- Reverses atelectasis
- Increases FRC
- Reduces WOB
- Improves gas exchange





HEY BUDDY CAN  
YOU SPARE SOME  
SURFACTANT?

GO PEEP  
YOURSELF!

**KEEP  
THE  
PEEP**



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